



INVESTOR IN PEOPLE

3



The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation and Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents originally filed in connection with the patent application identified therein together with the statement of inventorship and of right to grant of a Patent (Form 7/77), which was subsequently filed.

so certify that the attached copy of the request for grant of a Patent (Form 1/77) bears an amendment, effected by this office, following a request by the applicant and agreed to by the Comptroller-General.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in the certificate and any accompanying documents has re-registered under the Companies Act 1985 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in the certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

**CERTIFIED COPY OF
PRIORITY DOCUMENT**

Signed

J. Evans

Dated

16 June 2000

THIS PAGE BLANK (USPTO)

THE PATENT OFFICE

D
26 OCT 1999

RECEIVED BY POST

The
Patent
Office

CT99 E486677-1 C06256
P01/7700 0.00 - 9925164.7

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road
Newport
Gwent NP9 1RH

1. Your reference P/62128

2. Patent application number 26 OCT 1999 9925164.7
(The Patent Office will fill in this part)

3. Full name, address and postcode of the or of each applicant (underline all surnames) Marconi Communications Limited
New Century Park
P O Box 53
Coventry CV3 1HJ

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

ENGLAND

7519 200001

4. Title of the invention Communications System

5. Name of your agent (if you have one)

G COCKAYNE CF HOSTE

"Address for service" in the United Kingdom to which all correspondence should be sent

(including the postcode)

Patents ADP number (if you know it)

GEC Patent Department
Waterhouse Lane
Chelmsford
Essex CM1 2QX

5/77
28.10.99 86P.
Marconi Intellectual Property
Waterhouse Lane
CHELMSFORD
Essex
CM1 2QX

GLOBALCHANGE 40527005

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country	Priority application number (if you know it)	Date of filing (day / month / year)
---------	---	--

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application	Date of filing (day / month / year)
-------------------------------	--

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

a) any applicant named in part 3 is not an inventor, or

b) there is an inventor who is not named as an applicant, or

c) any named applicant is a corporate body

See note (d)

Yes

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description	11
Claim(s)	3
Abstract	1
Drawing(s)	4

8
24

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents	—
Statement of inventorship and right to grant of a patent (Patents Form 7/77)	—
Request for preliminary examination and search (Patents Form 9/77)	—
Request for substantive examination (Patents Form 10/77)	—

Any other documents

(Please specify)

11. I/We request the grant of a patent on the basis of this application.

Signature

G COCKAYNE

Date

25.10.99

12. Name and daytime telephone number of person to contact in the United Kingdom

A P Cardus

01245 275124

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

THE PATENT OFFICE

28 OCT 1999

RECEIVED BY POST

The Patent Office

Cardiff Road
Newport
Gwent NP9 1RH

Statement of inventorship and of right to grant of a patent

1. Your reference P/62128.GBA
2. Patent application number
(if you know it) 9925164.7 D3.
3. Full name of the or of each applicant
MARCONI COMMUNICATIONS LIMITED
4. Title of the invention
COMMUNICATIONS SYSTEM
5. State how the applicant(s) derived the right
from the inventor(s) to be granted a patent By virtue of Section 39(1) of the 1977 Patents Act
6. How many, if any, additional Patents Forms
7/77 are attached to this form?
(see note (c)) 0
7. I/We believe that the person(s) named over the page (and on any extra copies of this form) is/are the inventor(s) of the invention which the above patent application relates to.

Signature	Date
C F HOSTE <i>CF Hoste</i>	27.10.99
8. Name and daytime telephone number of
person to contact in the United Kingdom A P CARDUS
01245 275124

Notes

- a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- b) Write your answers in capital letters using black ink or you may type them.
- c) If there is more than three inventors, please write the names and addresses of the other inventors on the back of another Patents Form 7/77
- d) When an application does not declare any priority, or declares priority from an earlier UK application, you must provide enough copies of this form so that the Patent Office can send one to each inventor who is not an applicant.
- e) Once you have filled in the form you must remember to sign and date it.

Enter the full names, addresses and postcodes of the inventors in the boxes and underline the surnames

IAIN JAMES SLATER
26 LONGLAND ROAD
BEESTON RYLAND
NOTTINGHAM NG9 1LR

06711 851001-

Reminder

Have you signed the form?

Patents Form 7/77

Patents ADP number (if you know it)

COMMUNICATIONS SYSTEM

The present invention relates to the field of synchronous hierarchy communications networks, for example synchronous digital hierarchy (SDH) and SONET networks and to the synchronisation of network elements within such networks.

A synchronous hierarchy communications network comprises a number of interconnected nodes or network elements (NE), e.g. SDH equipment (SE), arranged to exchange data, synchronisation and control signalling according to a synchronous hierarchy, as set out for example in the synchronous digital hierarchy (SDH) or SONET standards. Typically a synchronisation signal will be passed from one NE to the next, along with the data signal, so creating a synchronisation path through communicating NEs via data ports. The synchronisation is carried by virtue of the synchronisation signal's aggregate bit rate rather than the data it contains. The signal also carries the synchronisation status message (SSM).

For brevity in the following the invention will be described with reference to SDH, it being understood that the invention is also applicable to SONET. A significant feature of SDH systems is the ability of networks to automatically recover from synchronisation failures. To support this feature each SE requires a pre-configured synchronisation source priority table and each synchronisation signal must carry an indication of the quality of the timing source from which it is derived. In SDH networks, this indication of quality is carried in the SSM. For any one STM-N output, the SE will autonomously select from the SSM values of the available sources the one with the highest quality. The selection

of which source to use to synchronise data signals sent out from STM-N ports of an SE is also controlled by the pre-configured priority table of that equipment. For any one STM-N output, one or a number of synchronisation sources can each be assigned a priority and the SE can use the priority table to identify the source with the highest priority. In practice priority is only used to select a synchronisation source if there are more than one available with the same, highest quality, or if a valid SSM is not available.

At a network level it is important that the overall trail of successive synchronisation sources (which may be described as a "daisy chain" of SEs passing synchronisation information from one to the other along the chain) always refers back to a designated external source. This is illustrated in Figure 1. It is also important that every effort is made to prevent a timing loop occurring. A timing loop occurs when a synchronisation signal transmitted by a SE is returned (i.e. looped-back) to the same SE which then selects that looped-back synchronisation signal as its source for transmitting thus "closing the loop" such that there is no independent external source of synchronisation. This is illustrated in Figure 2.

To avoid this situation, international standards (e.g. pr ETS 300 417-6-1) define a method whereby, when a synchronisation source input to a SE via a particular port is selected for onward transmission by that SE, the SSM sent out by that particular port is set to "do not use" (DNU). A synchronisation signal with an associated SSM of DNU will not be used. This ensures that a synchronisation signal directed back towards the original source of synchronisation is not itself used for synchronisation.

However, the method of the above International Standard does not cope with the situation where two adjacent NEs are connected via more than one port. Whereas a SSM of DNU will be transmitted on the first port at which the synchronisation signal is received, the second port connected to the same source of synchronisation can still output a synchronisation signal with a valid (i.e. non-DNU) SSM.

The present invention provides a communications system comprising a plurality of interconnected network elements (NE), in which each NE comprises one or more ports, each port for inputting from an adjacent one of the plurality of NEs a synchronisation signal and a quality level indication (QLI) for indicating the quality of the source of the synchronisation signal; each port for outputting to the adjacent NE a selected one of the input synchronisation signals and a QLI; in which each NE is associated with a unique NE identifier; in which each port of each NE is associated with a source identifier (SID) for identifying the port at which each synchronisation signal is input; in which each port of each NE comprises QLI means for setting the value of the QLI output at that port based on a comparison of the SID of that port with the SID of the selected synchronisation signal input port; and in which the SID of each port comprises the NE identifier of the adjacent NE.

The present invention also provides a method for indicating the quality level of synchronisation signals in a communications system comprising a plurality of interconnected network elements (NE), in which each NE comprises one or more ports, each port for inputting from an adjacent one of the plurality of NEs a synchronisation signal and a quality level indication (QLI) for indicating the quality of the source of the

synchronisation signal; each port for outputting to the adjacent NE a selected one of the input synchronisation signals and a QLI; the method including the steps of allocating to each NE a unique identifier and allocating to each port of an NE a source identifier (SID) for identifying the port at which each synchronisation signal is input; receiving synchronisation signals at the port or ports of each NE; associating each received synchronisation signal with the SID of the port at which it is input; selecting for each NE one of the received synchronisation signals for output from the port or ports of that NE; comparing the SID associated with the selected synchronisation signal with the SID associated with each port at which that synchronisation signal is output and setting the QLI output at each port according to the result of the relevant comparison; the method including the step of setting the SID of each port to the NE identifier of the NE to which that port is directly connected.

Embodiments of the present invention will now be described by way of example with reference to the drawings in which:-

Figures 1 to 4 show a telecommunications system of the prior art;

Figure 5 shows a telecommunications system according to the present invention.

Before describing the drawings, the various values of SSM will be explained. References to G.811, G.812 and G.813 relate to respective ITU standards which define the quality of the original clock from which synchronisation is derived. ITU Recommendation G.707 defines SSM values relating to different qualities of original clock. Five SSM codes are defined in draft ETS1 recommendation prETS 300 417-6-1 to represent the

synchronisation source quality level, listed in order of decreasing quality level as follows:

- Code 0010 (Quality PRC) means the synchronisation source is a PRC clock (ETS 300 462-6, ITU-T Recommendation G.811);
- Code 0100 (Quality SSU-T), means the synchronisation source is a transit SSU clock (ITU-T Recommendation G.812) or a Synchronous Supply Unit (SSU) that is defined in ETS 300 462-4;
- Code 1000 (Quality SSU-L), means the synchronisation source is a SSU clock (ITU-T Recommendation G.812);
- Code 1011 (Quality SEC), means the synchronisation source is a SEC clock (ETS 300 462-5, option 1 of ITU Recommendation G.813);
- Code 1111 (Quality DNU), means the synchronisation signal carrying this SSM shall not be used for synchronisation because a timing loop situation could result if used.

In the Figures numbers in squares denote the priority of sources of synchronisation signal received at the SE via the STM-N ports, one of which sources is selected by the SE on the basis of its quality and priority, to provide the synchronisation signal output. As indicated in Figure 1, the West STM-N input receives a synchronisation signal with a quality level of G.811 associated with it and is allocated priority 1 as a source of synchronisation signal. The East STM-N input is allocated Priority 2. In fact, the synchronisation signal received at the East STM-N port of SE1 has been looped back at SE2 and this is reflected in the assigned SSM value DNU.

Figure 2 illustrates the problem that can arise with a synchronisation timing loop. In

Figure 2 we see that the synchronisation source arriving at the West port of SE1 has failed and as a result SE1 has switched to its alternative synchronisation input at the East port. However the source of synchronisation signal at the East port has already been looped back in SE2 at its West port from the synchronisation signal previously supplied by SE1. Thus a loop is created without any reference to an external source of synchronisation with a consequent degradation of clock quality. This inferior synchronisation signal is also being propagated by SE2 via its East port with an inappropriately good SSM of G.811.

Figure 3 shows the internal working of a conventional SE in more detail. In Figure 3 each STM-N port S_1, S_2, \dots, S_N of the SE comprises a source identity (SID) generator, a DNU controller and an SSM output selector Y. The SID generator provides a unique value identifying the relevant port for accompanying the received synchronisation and SSM signals through the SE. The SID value is also passed to the DNU controller for the same STM-N port.

The selectors (T4) are shown schematically as comprising three, ganged, single-pole, multi-way switches, one switch for each of: the synchronisation signal (Clock), the SSM and the SID. Each switch takes an appropriate input from each of the STM-N ports (S_1 - S_N). The outputs of the T4 selectors are denoted $\text{Clock}_{\text{SEL}}$, SSM_{SEL} , and SID_{SEL} , respectively. The ganged selectors in Figure 1 are automatically controlled by the SE. The operator configures the equipment with a number of possible sources (S_1, S_2, \dots, S_N) and the automatic selection will normally select the source that has the highest quality as determined from its SSM value. However there are, in practice a number of special

modes whereby the selection of the highest quality can be withheld when the quality of source changes in order to reduce the number of intermittent changes. In practice, the switching functions, described above would typically be performed in software. Considering STM-N Port S1: the SSM value and synchronisation signal (SSM_{S1} , $Clock_{S1}$) are derived from the STM-N inputs Rx Clock, Rx SSM and pass to the T4 selectors along with the locally generated SID value (SID_{S1}) unique to that port. The T4 selectors route the synchronisation signal received from a selected one of the STM-N ports for output from all of the STM-N ports. This selection process involves distribution of the synchronisation signal, the SSM and the SID in a ganged manner, i.e. the synchronisation signal SSM and SID from a single STM-N port are selected together and are then returned to all of the STM-N ports where the synchronisation signal and SSM are output from the SE but the SID is terminated at the DNU controller.

The DNU controller compares the SID of signals received from the selectors (SID_{sel}) with the SID applied directly from the local SID generator (SID_{sx} , where $x=1, 2...N$). If the two SID values agree then the signals received from the selectors are the same as those input to that port from the STM-N link, so in transmitting these same signals back to the same STM-N link, a loop-back is generated. In order to alert NEs connected to this STM-N link to this state of affairs, the STM-N port outputs a DNU SSM under control of the DNU controller. The DNU controller controls the operation of the SSM output selector Y to output either the SSM value received from the selectors (SSM_{sel}) or the DNU value, accordingly.

In the example shown in Figure 3, Port S₁ will output a Tx SSM of DNU while all other

ports will present a T_x SSM derived from SSM_{S1} .

Figure 4 shows a further problem that can arise despite the use of SIDs as described above. The arrangement of Figure 4 differs from that illustrated above in that SE1 and SE2 are now interconnected via two separate ports i.e. SE1 port C to SE2 port A and SE1 port D to SE2 port B).

Whereas the mechanism described above is effective in allocating an SSM of DNU to the synchronisation signal looped back to the port at which it was received (in this case SE2 port A) it does not prevent the synchronisation signal looped back to SE1 via the second port (i.e. SE2 port B) from being allocated an inappropriate SSM (i.e. $SSM=G.811$). This looped back timing signal is then propagated by SE1 from its west ports with the inappropriate SSM value.

A characteristic of SDH systems is that, for the purposes of network planning and overall control, each network equipment is allocated a unique identification (NEID). The NEID allocated to each node is centrally stored at a network control function which acts to control all the SEs in the telecommunications network. The central network control function uses the NEID to address specific nodes, e.g. for configuring the SE or obtaining status information therefrom. By "central" is meant a single entity servicing a network, as opposed to each NE acting independently. The actual location of such an entity is not defined. According to the present invention the conventional SID value allocated to each port of an SE is replaced by the NEID value corresponding to the SE to which that port is directly connected. This automatically copes with the situation where more than one

port on any particular SE is directly connected to the same source/destination SE. When combined with the above described system for checking SIDs at output ports in order to detect looping back of synchronisation signals, the present invention advantageously prevents the looping back of synchronisation signals received from a second SE to the same second SE without that synchronisation signal being correctly identified with an SSM of DNU.

Allocation of SID values is currently effected manually based on SE connectivity data relating to the telecommunications network. This manual activity is tedious and prone to error. The replacement of the current SID values with values incorporating the NEID of the connected SE advantageously reduces the scope for error by making the correct SID more easily identifiable to the operator.

In a preferred embodiment of the present invention, the SID value of each port is automatically set-up by the central network control function on the basis of the NEID information and the connectivity information already contained in that function. This may be simply achieved by arranging for the central network control function to send a control message to each SE containing instructions regarding the setting of the various SIDs for each port.

To be precise, these SIDs should now be referred to as destination identifiers (DIDs) as they determine the destination to which the synchronisation signal output at that port is directed.

In a further preferred embodiment of the present invention each network equipment is programmed on initialisation to send a message containing its own NEID to each adjacent network equipment. Each SE is also programmed, on receiving the "NEID" message from its adjacent SEs to set the values of the SIDs of its ports to the NEID value contained in the message received at that port.

In a further preferred embodiment, the SEs repeatedly send NEID messages to adjacent SEs e.g. as a background or a regularly scheduled task, thus advantageously allowing for any changes in the topology of the network to be automatically reflected in the SID allocation of the SEs affected.

Figure 5 shows application of the invention to a complex network in which multitude of SEs are interconnected. Each SE in Figure 5 is identified by its NEID which, as is normally in the case, consists of a name that is usually derived from the geographical location of the SE. The central SE comprises 7 ports P_1 to P_7 , each port is connected to a further SE and, in particular ports P_6 and P_7 , are both directly connected to SE "New Port". Each of the SEs connected to SE "Central" has further ports for connection with other SEs (not shown). According to the invention both the ports of SE "New Port" that are directly connected to SE "Central" are given the SID "Central". As a result, if the synchronisation signal selected by SE "New Port" is from one of the ports connected to SE "Central", this synchronisation signal is sent out of both ports connected to SE "Central" with an SSM of DNU.

Although the above embodiments have been described with reference to SDH equipment

the same techniques are equally applicable to other synchronous communications systems notably SONET systems.

CLAIMS

1. A communications system comprising a plurality of interconnected network elements (NE), in which each NE comprises one or more ports, each port for inputting from an adjacent one of the plurality of NEs a synchronisation signal and a quality level indication (QLI) for indicating the quality of the source of the synchronisation signal;

each port for outputting to the adjacent NE a selected one of the input synchronisation signals and a QLI;

in which each NE is associated with a unique NE identifier;

in which each port of each NE is associated with a source identifier (SID) for identifying the port at which each synchronisation signal is input;

in which each port of each NE comprises QLI means for setting the value of the QLI output at that port based on a comparison of the SID of that port with the SID of the selected synchronisation signal input port;

and in which the SID of each port comprises the NE identifier of the adjacent NE.

2. The system as claimed in Claim 1 also comprising a central management means, in which the management means comprises means for setting the SID of each port of each NE to the appropriate NE identifier value.

3. The system as claimed in Claim 1 in which each NE comprises sending means for sending its own NE identifier to each NE to which it is directly connected.
4. The system as claimed in Claim 3 in which the sending means is arranged to repeatedly send the NE identifier.
5. A method for indicating the quality level of synchronisation signals in a communications system comprising a plurality of interconnected network elements (NE), in which each NE comprises one or more ports, each port for inputting from an adjacent one of the plurality of NEs a synchronisation signal and a quality level indication (QLI) for indicating the quality of the source of the synchronisation signal.

each port for outputting to the adjacent NE a selected one of the input synchronisation signals and a QLI;

the method including the steps of allocating to each NE a unique identifier and allocating to each port of an NE a source identifier (SID) for identifying the port at which each synchronisation signal is input; receiving synchronisation signals at the port or ports of each NE; associating each received synchronisation signal with the SID of the port at which it is input; selecting for each NE one of the received synchronisation signals for output from the port or ports of that NE; comparing the SID associated with the selected synchronisation signal with the SID associated with each port at which that synchronisation signal is output and

setting the QLI output at each port according to the result of the relevant comparison;

the method including the step of setting the SID of each port to the NE identifier of the NE to which that port is directly connected.

6. The method as claimed in Claim 5 in which the communications system also comprises a central management means; the method including the step of operating the management means to associate each port with the appropriate NE identifier value.
7. The method as claimed in Claim 5 including the step of each NE sending its own NE identifier to each NE to which it is directly connected.
8. The method as claimed in Claim 7 including the step of each NE repeatedly sending the NE identifier.
9. A communications system substantially as described with reference to and as illustrated in Figure 5 of the drawings.
10. A method of indicating the quality level of synchronisation signals in a communications system substantially as described with reference to and as illustrated in Figure 5 of the drawings.

ABSTRACT

In a synchronous digital communications system a network of SDH (or SONET) equipments (SE) are arranged to exchange synchronisation signals via ports on the SEs, each port being allocated a source identifier (SID). These SIDs are used to identify the source of each synchronisation signal input at a particular SE. When the SE selects a synchronisation signal to transmit out of its ports, the SID associated with that selected signal is compared with the SID of each port. If a match is found the synchronisation status message (SSM) associated with the synchronisation signal sent out at that port is set to "Do Not Use" (DNU) to warn the destination SE that the synchronisation signal has been looped back. Each SE is allocated a unique equipment identifier. The SID of each port is set to the unique SE identifier of the SE to which it is directly connected.

THIS PAGE BLANK (USPTO)

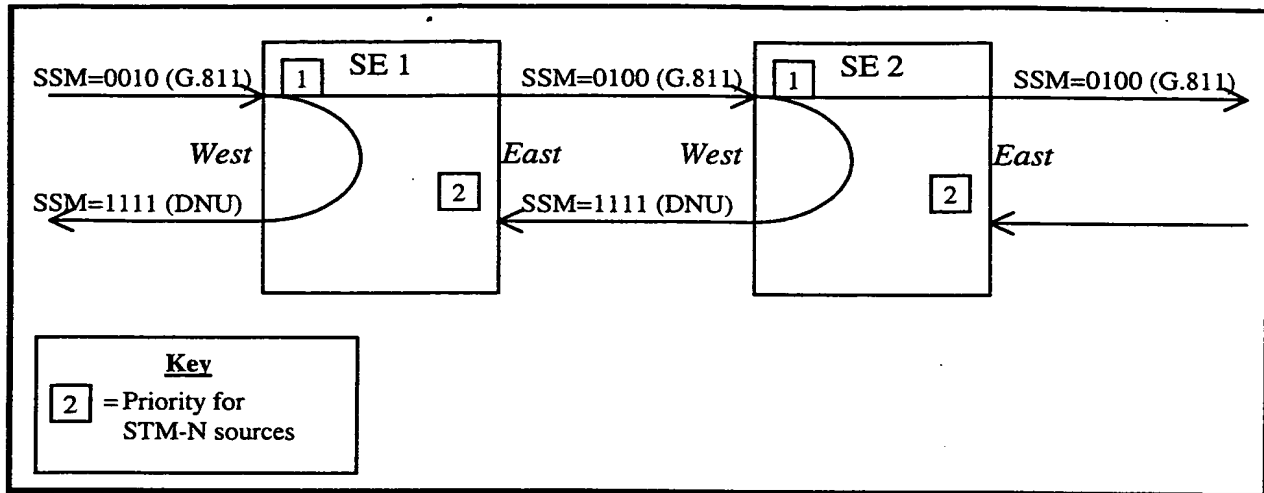


Figure 1 Timing Loop Prevention

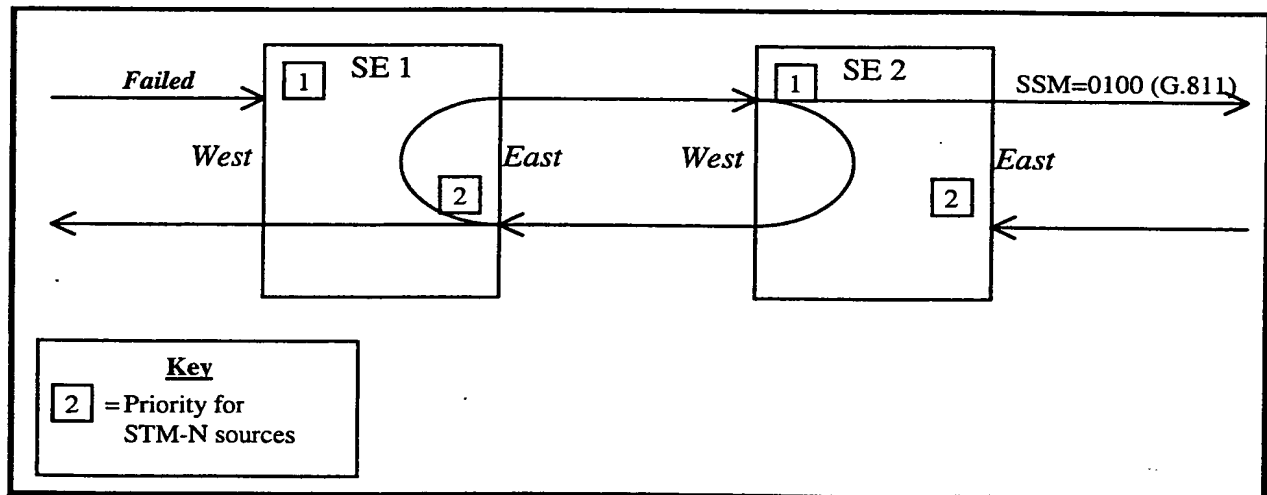


Figure 2 Synchronisation Timing Loop

THIS PAGE BLANK (USPTO)

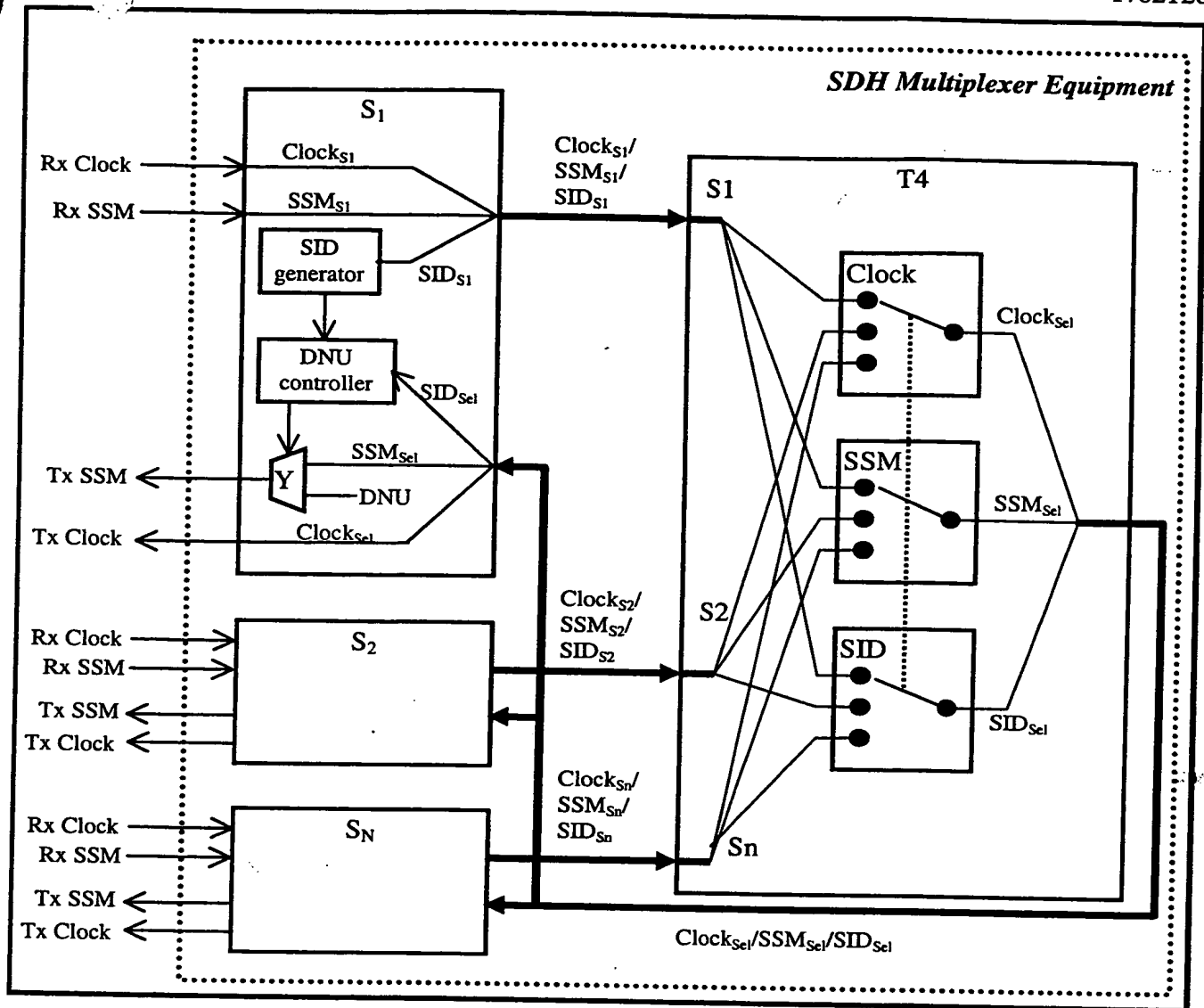


Figure 3 General use of SID in Timing Loop Prevention

THIS PAGE BLANK (USPTO)

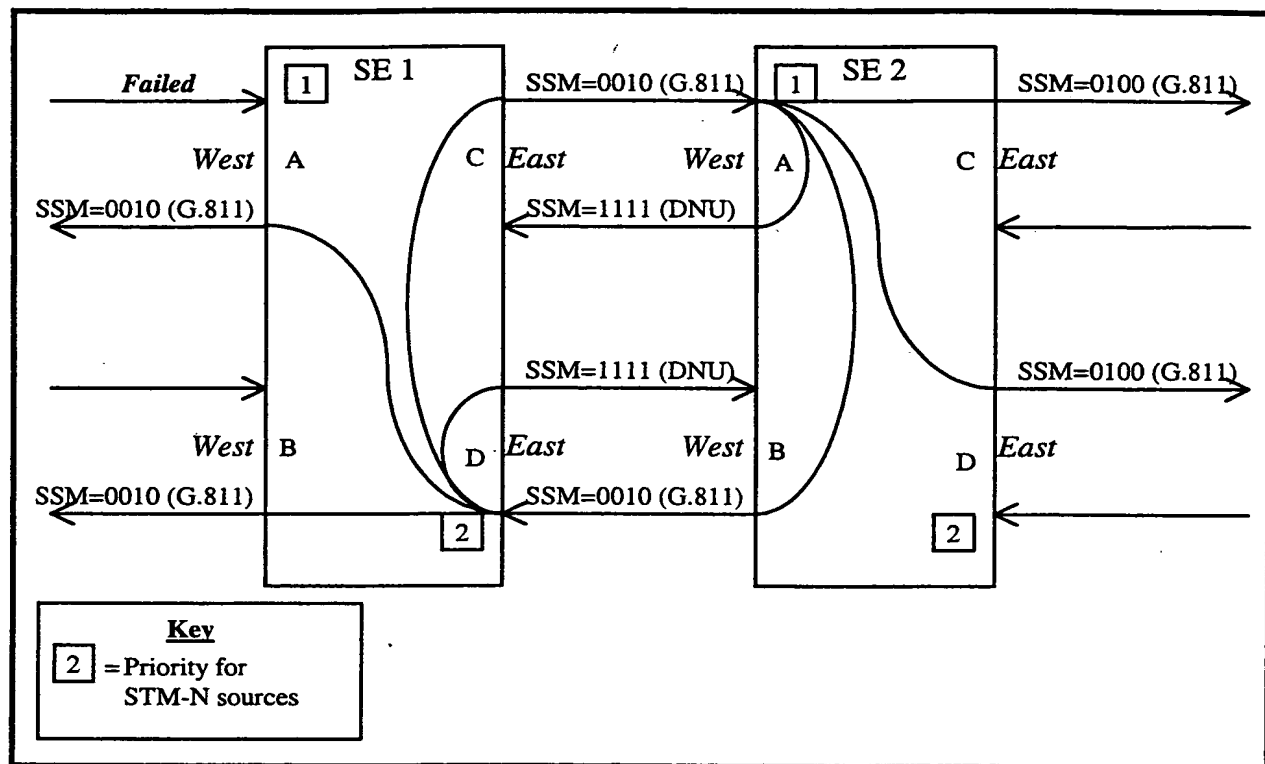


Figure 4 Multiple Port Timing Loop

THIS PAGE BLANK (USPTO)

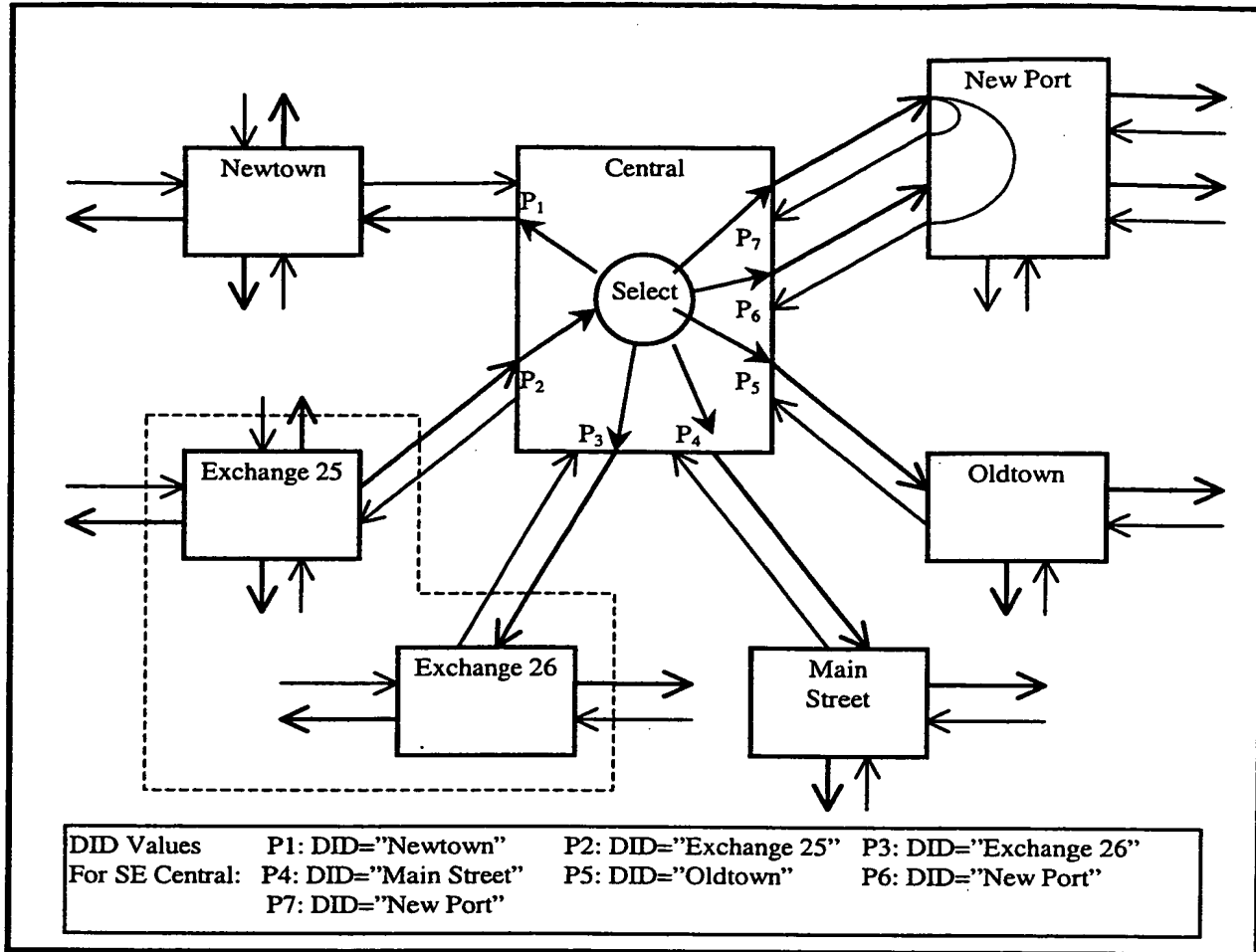


Figure 5 **Destination IDs**

THIS PAGE BLANK (USPTO)